|                                | Redressal of Complaints received against Mutual Funds (MFs) during April 2022- March 2023 |  |   |                       |       |        |          |                  |         |        |        |        |           |  |
|--------------------------------|---|--|---|-----------------------|-------|--------|----------|------------------|---------|--------|--------|--------|-----------|--|
| Total Number of Folios: 144159 |   |  |   |                       |       |        |          |                  |         |        |        |        |           |  |
| Complaint Code                 | Type of Complaint#  | (a) No. of<br>complaints<br>pending at the | (b) No. of<br>Complaints<br>received during | Action on (a) and (b) |       |        |          |                  |         |        |        |        |           |  |
|                                |   |  |   | Resolved              |       |        |          | Non              | Pending |        |        |        |           |  |
|                                |   | beginning of the                           | the year                                    | Within                | 30-60 | 60-180 | Beyond   | Actionabl<br>e * | 0-3     | 3-6    | 6-9    | 9-12   | More than |  |
|                                | N. CBULL III  | year                                       |   | 30 days               | days  | days   | 180 days |                  | months  | months | months | months | 12 months |  |
| I A                            | Non receipt of Dividend on Units  | 0  | 0   | 0                     | 0     | 0      | 0        | 0                | 0       | 0      | 0      | 0      | 0         |  |
| I B                            | Interest on delayed payment of Dividend   | 0  | 0   | 0                     | 0     | 0      | 0        | 0                | 0       | 0      | 0      | 0      | 0         |  |
| I C                            | Non receipt of Redemption Proceeds  | 0  | 0   | 0                     | 0     | 0      | 0        | 0                | 0       | 0      | 0      | 0      | 0         |  |
| I D                            | Interest on delayed payment of Redemption   | 0  | 0   | 0                     | 0     | 0      | 0        | 0                | 0       | 0      | 0      | 0      | 0         |  |
| II A                           | Non receipt of Statement of Account/Unit  |  |   |                       |       |        |          |                  |         |        |        |        |           |  |
|                                | Certificate   | 0  | 0   | 0                     | 0     | 0      | 0        | 0                | 0       | 0      | 0      | 0      | 0         |  |
| II B                           | Discrepancy in Statement of Account   | 0  | 0   | 0                     | 0     | 0      | 0        | 0                | 0       | 0      | 0      | 0      | 0         |  |
| II C                           | Data corrections in Investor details  | 0  | 0   | 0                     | 0     | 0      | 0        | 0                | 0       | 0      | 0      | 0      | 0         |  |
| II D                           | Non receipt of Annual Report/Abridged   |  |   |                       |       |        |          |                  |         |        |        |        |           |  |
|                                | Summary   | 0  | 0   | 0                     | 0     | 0      | 0        | 0                | 0       | 0      | 0      | 0      | 0         |  |
| III A                          | Wrong switch between Schemes  | 0  | 0   | 0                     | 0     | 0      | 0        | 0                | 0       | 0      | 0      | 0      | 0         |  |
| III B                          | Unauthorized switch between Schemes   | 0  | 0   | 0                     | 0     | 0      | 0        | 0                | 0       | 0      | 0      | 0      | 0         |  |
| III C                          | Deviation from Scheme attributes  | 0  | 0   | 0                     | 0     | 0      | 0        | 0                | 0       | 0      | 0      | 0      | 0         |  |
| III D                          | Wrong or excess charges/load  | 0  | 0   | 0                     | 0     | 0      | 0        | 0                | 0       | 0      | 0      | 0      | 0         |  |
| III E                          | Non updation of changes viz. address, PAN,  |  |   |                       |       |        |          |                  |         |        |        |        |           |  |
|                                | bank details, nomination, etc   | 0  | 0   | 0                     | 0     | 0      | 0        | 0                | 0       | 0      | 0      | 0      | 0         |  |
| IV                             | Others  | 2  | 4   | 4                     | 0     | 0      | 0        | 0                | 0       | 0      | 0      | 0      | 2         |  |
|                                |   | _  | •   | •                     | -     |        |          |                  | -       |        |        |        |           |  |
| Total                          |   | 2  | 4   | 4                     | 0     | 0      | 0        | 0                | 0       | 0      | 0      | 0      | 2         |  |

<sup># -</sup> including against its authorized persons / distributors / employees etc.

<sup>\*</sup>Non actionable - means the complaint that are incomplete / outside the scope of the Mutual Fund.